Rapid-cycle Improvement Program

Fall Prevention Assessment



WHAT IS THIS TOOL?

This assessment allows hospitals to identify opportunities for improvement to reduce the risk of patient falls or falls with injury. Use this tool to interview unit-based staff and compare current practices with recommended evidence-based best practices.

WHO SHOULD USE THIS TOOL?

Hospital-based quality improvement teams focused on reducing falls and falls with injury.

ASSESSMENT PROCESS:

- Review the hospital's internal policies and protocols.
- Review electronic medical records for select patients to evaluate the presence of documented assessments and interventions.
- Complete the assessment with unit-based staff from multiple areas in the hospital to ensure that unit-to-unit variation is accounted for in any hospital-wide action plans developed as a result of the assessment.
- Review responses with your EQIC project manager for additional guidance and next steps.



Hospital Quality Improvement Contractors CENTERS FOR MEDICARE & MEDICAID SERVICES JOUALITY IMPROVEMENT & INVOLVITION GROUP This material was prepared by the Healthcare Association of New York State, Inc., a Hospital Quality Improvement Contractor under contract with the Centers for Medicare & Medicaid Services, an agency of the U.S. Department of Health and Human Services. Views expressed in this material do not necessarily reflect the official views or policy of CMS or HHS, and any reference to a specific product or entity herein does not constitute endorsement of that product or entity by CMS or HHS. 12SOW/EQIC/HQIC-0108-08/21/23

EVIDENCE-BASED PRACTICE	PRACTICE IN PLACE?	NOTES				
ORGANIZATIONAL STRUCTURE AND CULTURE						
An interdisciplinary team or committee focused on fall and fall with injury prevention meets regularly.	Yes No					
This team reports to the hospital quality improvement committee or board of directors.	Yes No					
The hospital has identified an executive sponsor.	Yes No					
There are designated unit-based champions across the hospital.	Yes No					
The hospital has a performance improvement program in place.	Yes No					
Policies/protocols have been developed and updated with current guidelines/evidence-based recommendations.	Yes No					
New treatments, equipment designed to assist with treatment and prevention are frequently evaluated.	Yes No					
Patient stories are shared with frontline staff and board members.	Yes No					
DATA COLLECTION AND REPORTING						
Fall rates are tracked at your facility.	Yes No					
Fall rates are delineated by unit location.	Yes No					
Falls are delineated by severity of injury.	Yes No					
Fall rates are shared with frontline unit staff.	Yes No					
The hospital uses a standardized reporting mechanism (i.e., dashboard) to track prevalence and outcomes.	Yes No					
STAFF EDUCATION						
Staff that receive education and training on fall prevention strategies include <i>(check all that apply)</i> :	Providers Frontline staff Clinical support staff Transport staff Environmental staff					

EVIDENCE-BASED PRACTICE	PRACTICE IN PLACE?		NOTES
STAFF EDUCATION (CONTINUED)			
Staff education about fall prevention is provided:	At orientation Annually Other; describe:		
Staff are educated on fall definitions and injury-level classifications.	Yes	No	
RISK ASSESSMENT			
The hospital uses a validated fall risk assessment tool.	Yes	No	
The validated risk assessment tool is programmed into the electronic medical record.	Yes	No	
The risk assessment is performed on every patient and documented in the EMR.	Yes	No	
The hospital screens the patient intake history to determine if they are a "known faller" or have conditions that cause them to fall. This is in addition to the standard risk assessment.	Yes	No	
After any change that impacts a patient's fall risk (such as changes in medication or a fall) patients are reassessed for fall risk and a risk score is performed (check all that apply):			
At least daily	Yes	No	
Post-procedure (as needed)	Yes	No	
Upon transfer from another unit	Yes	No	
When there is a change in clinical condition	Yes	No	
PLAN OF PREVENTION			
The hospital uses information from the patient's screening and risk assessment to create a patient-specific fall prevention plan.	Yes	No	
The plan includes components such as:			
Mobility/gait and balance Ambulatory aid	Yes	No	
Ambulatory aidToileting needs	Yes	No	
	Yes	No	
(continued on next page)			

EVIDENCE-BASED PRACTICE	PRACTICE IN	PLACE?	NOTES
PLAN OF PREVENTION (CONTINUED)			
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Intravenous therapy	Yes	No	
Mental status	Yes	No	
History of falls	Yes	No	
Visual impairment	Yes	No	
Medications	Yes	No	
 Secondary diagnosis (associated with incontinence, vision problems, multiple medicines, orthostatic or postural hypotension, peripheral neuropathy) 	Yes	No	
The fall prevention plan also considers population-based risk factors such as the "ABCs" of falls (i.e., Age, Bones, Coagulation, Surgery).	Yes	No	
The patient and care partner are involved in developing a tailored mobility and fall prevention plan.	Yes	No	
Patient and care partner education materials on fall prevention are available.	Yes	No	
The care team has a structured communication process so that all caregivers are aware of which patients are at risk for falls and the patient-specific care plan interventions to mitigate risk.	Yes	No	
There is a designated physical space to put the patient's personalized fall prevention plan.	Yes	No	
CREATING A SAFE ENVIRONMENT			
Universal fall precaution strategies are in place for all patients:	Yes	No	
 Purposeful rounds, i.e., rounding using the five Ps with deliberate patient inquiry about their needs related to: Pain Position Potty Proximity of personal items Personal/physiological needs 	Yes	No	
Clearing pathways	Yes	No	
Wiping up spills immediately	Yes	No	
Providing access to call bell	Yes	No	
Providing non-skid footwear	Yes	No	
Mats where appropriate	Yes	No	

EVIDENCE-BASED PRACTICE	PRACTICE	IN PLACE?	NOTES
CREATING A SAFE ENVIRONMENT (CONTINUED)			
The hospital has a process to ensure a safe environment for all patients:			
Eliminate sharp edges in the patient's room.	Yes	No	
Adjust bed height to allow for transfer or standing.	Yes	No	
Ensure patient's belongings are within reach.	Yes	No	
Ensure phone and lights are within reach.	Yes	No	
Ensure availability of stable seating to support proper posture.	Yes	No	
 Provide toilet support equipment, i.e., grab bars and raised seats, as needed. 	Yes	No	
Assistive devices for mobility are accessible.	Yes	No	
 Locking walkers and wheelchairs are available. 	Yes	No	
Walkers with seats are available for patients who need them.	Yes	No	
Environmental inspection rounds occur regularly with nursing staff and facilities engineers to identify and resolve safety issues.	Yes	No	
A process is in place to report fall-related risks requiring fixing (i.e., slippery or uneven surfaces, step hazards, lack of grab bars, unsafe rails, loose mats) to the unit manager.	Yes	No	
POST-FALL MANAGEMENT			
The hospital uses a post-fall huddle tool to support transparent reporting, trending and analysis of falls.	Yes	No	
There is a post-fall huddle immediately following any fall.	Yes	No	
The family and care partner are notified of falls.	Yes	No	
The patient and/or care partner participate in the post-fall huddle.	Yes	No	
The fall risk assessment and prevention plan are updated and communicated to the care team.	Yes	No	
A root cause analysis is performed for every fall with a moderate level of injury or greater.	Yes	No	
RCA results are documented and communicated with frontline staff.	Yes	No	
The hospital aggregates and analyzes post-fall huddle data, including contributing factors, to inform improvement efforts.	Yes	No	

THIS TOOL IS BASED ON:

"A Patient-Centered Fall Prevention Toolkit – Tailoring Interventions for Patient Safety," http://www.falltips.org

Ganz D.A., Huang C., Saliba D., et al., "Preventing Falls in Hospitals: A Toolkit for Improving Quality of Care," RAND Corporation, Boston University School of Public Health, and ECRI Institute under Contract No. HHSA290201000017I TO #1., Agency for Healthcare Research and Quality no. 13-0015-EF. (January 2013). https://www.ahrq.gov/professionals/systems/hospital/fallpxtoolkit/index.html

Neily, J, Quigley P.A., Essen K., "Implementation Guide for Fall Injury Reduction," VA National Center for Patient Safety Reducing Preventable Falls and Fall-Related Injuries. (2015). <u>https://www.patientsafety.va.gov/docs/fall-stoolkit14/falls_implementation_%20guide%20_02_2015.pdf#:~:text=Since%201999%2C%20the%20VHA%20</u> National%20Patient%20Safety%20Center,and%20injury%20reduction%20as%20a%20research%20translation%20 center

Sentinel Event Alert Issue 55: "Preventing falls and fall-related injuries in health care facilities," The Joint Commission. (2015). https://www.jointcommission.org/-/media/tjc/documents/resources/patient-safety-topics/sentinel-event/sea_55_falls_4_26_16.pdf

National Database of Nursing Indicators "Guidelines for Data Collection and Submission: Patient Falls Indicator," Press Ganey. (2020).